

37 Therapy (Occupational, Physical, and Speech)

This chapter regarding therapy services is specifically designed for therapy providers who meet **either** of the following criteria:

- Provider receives a referral as a result of an EPSDT screening exam and possesses a Patient 1st/EPSDT Referral form (Form 362) as a result of an abnormality discovered during the EPSDT exam
- Provider treats QMB recipients

Physical therapy is also covered for acute conditions in a hospital outpatient setting for non-EPSDT recipients. For more information regarding this, refer to Chapter 19, Hospital.

The policy provisions for therapy providers can be found in the *Alabama Medicaid Agency Administrative Code*, Chapter 11.

37.1 Enrollment

EDS enrolls therapy providers and issues provider contracts to applicants who meet the licensure and/or certification requirements of the state of Alabama, the Code of Federal Regulations, the *Alabama Medicaid Agency Administrative Code*, and the *Alabama Medicaid Provider Manual*.

Refer to Chapter 2, Becoming a Medicaid Provider, for general enrollment instructions and information. Failure to provide accurate and truthful information or intentional misrepresentation might result in action ranging from denial of application to permanent exclusion.

Provider Number, Type, and Specialty

A provider who contracts with Medicaid as a therapy provider is issued a nine-digit Alabama Medicaid provider number that enables the provider to submit requests and receive reimbursements for therapy-related claims.

NOTE:

All nine digits are required when filing a claim.

Therapy providers are assigned a provider type of 21 (Therapy). Valid specialties for therapy providers include the following:

- Occupational Therapy (T6)
- Physical Therapy (T1)
- QMB/EPSDT (EQ)
- Speech Therapy (T2)

Therapists that enroll independently and have their own provider number bill on a CMS-1500 claim form as an EPSDT/QMB-only provider. Refer to Chapter 19, Hospital, for billing information for therapists enrolled by a hospital.

Enrollment Policy for Therapy Providers

Services provided must be ordered by a physician for an identified condition(s) noted during the EPSDT screening and provided by or under:

- For physical therapy services, a qualified physical therapist
- For occupational therapy (OT) services, the direct supervision of a qualified occupational therapist
- The supervision of a qualified speech therapist

A qualified Speech Therapist must have a Certification of Clinical Competence in Speech Language Pathology or be eligible for certification and licensed by the Alabama Board of Examiners for Speech, Language Pathology, and Audiology.

A qualified occupational therapist must be licensed by the Alabama State Board of Occupational Therapy.

A qualified physical therapist must be licensed by the Alabama Board of Physical Therapy.

37.2 Benefits and Limitations

This section describes program-specific benefits and limitations. Refer to Chapter 3, Verifying Recipient Eligibility, for general benefit information and limitations.

Services provided to Medicaid-eligible children by those working under the direction of licensed, enrolled speech therapists, occupational therapists or physical therapists are subject to the following conditions:

- The person providing the service must meet the minimum qualifications established by state laws and Medicaid regulations.
- A supervising provider must employ the person providing the service.
- The case record must identify the person providing the service.
- The supervising therapist must assume full professional responsibility for services provided and bill for such services.

- The supervising provider must assure that services are medically necessary and are rendered in a medically appropriate manner.

For more information about the Plan of Treatment required for therapy services, refer to Appendix A, EPSDT.

Only procedures identified in Section 37.5.3, Procedure Codes and Modifiers, are reimbursable.

Speech Therapists (ST-Speech Language Pathologist)

Speech therapy services must be ordered by a physician and must be provided by or under the direct supervision of a qualified speech therapist. Services are limited to those procedure codes identified in Section 37.5.3, Procedure Codes and Modifiers.

Speech therapy assistants must be employed by a speech therapist, have a bachelor's degree in Speech Pathology, and be registered by the Alabama Board of Speech, Language Pathology, and Audiology. Assistants are only allowed to provide services commensurate with their education, training, and experience. They may not evaluate speech, language, or hearing; interpret measurements of speech language or hearing; make recommendations regarding programming and hearing aid selection; counsel patients; or sign test reports or other documentation regarding the practice of speech pathology. Assistants must work under the direct supervision of a licensed speech pathologist.

Direct supervision requires the physical presence of the licensed speech pathologist in the same facility at all time when the assistant is performing assigned clinical responsibilities. The licensed speech pathologist must document direct observation of at least 10% of all clinical services provided by the assistant. Speech therapists may supervise no more than the equivalent of two full-time assistants concurrently.

NOTE:

Speech therapy services must be ordered by a physician and must be provided by or under the direct supervision of a qualified speech therapist.

Occupational Therapists (OT)

Occupational therapy services must be ordered by a physician and must be provided by or under the direct supervision of a qualified occupational therapist.

Services are limited to those procedures identified in Section 37.5.3, Procedure Codes and Modifiers. Some codes may require prior authorization before services are rendered. Medicaid does not cover recreational activities, such as movies, bowling, or skating.

Occupational therapy assistants may assist in the practice of occupational therapy only under the supervision of an OT. Occupational therapy assistants must have an Associate of Arts degree and must be licensed by the Alabama State Board of Occupational Therapy. Supervision of certified OT assistants must include one-to-one on-site supervision at least every sixth visit. Each supervisory visit must be documented and signed by the OT making the visit. Supervision for non-certified limited permit holders shall consist of one-to-one, on-site supervision a minimum of 50% of direct patient time by an OT who holds a current license. The OT must document supervising visits. The supervising OT ensures that the assistant is assigned only duties and responsibilities for which the assistant has been specifically educated and which the assistant is qualified to perform.

Occupational therapy aides employed by the OT may perform only routine duties under the direct, on-site supervision of the OT. Care rendered by an OT aide may not be charged as occupational therapy.

Physical Therapists (PT)

Services provided must be ordered by a physician and must be provided by or under the supervision of a qualified physical therapist.

Physical therapy assistants may provide service only under the supervision of a qualified physical therapist. PT assistants must be licensed by the Alabama Board of Physical Therapy, and must be an employee of the supervising PT. The PT assistant must only be assigned duties and responsibilities for which the assistant has been specifically educated and which the assistant is qualified to perform.

Licensed certified physical therapist assistants (PTA) are covered providers when working under the direction of a Preferred Physical Therapist with the following provisions:

- The Physical Therapist must interpret the physician's referral.
- The Physical Therapist must perform the initial evaluation.
- The Physical Therapist must develop the treatment plan and program, including long and short-term goals.
- The Physical Therapist must identify and document precautions, special problems, contraindications, goals, anticipated progress and plans for reevaluation.
- The Physical Therapist must reevaluate the patient and adjust the treatment plan, perform the final evaluation and discharge planning.
- The Physical Therapist must implement (perform the first treatment) and supervise the treatment program
- The Physical Therapist must co-sign each treatment note written by the PTA.
- The Physical Therapist must indicate he/she has directed the care of the patient and agrees with the documentation as written by the PTA for each treatment note.

The Physical Therapist must render the hands-on treatment, write and sign the treatment note every sixth visit.

Only procedure codes identified in Section 37.5.3, Procedure Codes and Modifiers, are valid procedure codes and reimbursable for physical therapy services.

NOTE:

To determine if a procedure code requires prior authorization, use the Automated Voice Response System (AVRS).

37.3 Prior Authorization and Referral Requirements

Therapy procedure codes generally do not require prior authorization. Any service that is warranted outside of these codes must have prior authorization. Refer to Chapter 4, Obtaining Prior Authorization, for general guidelines.

When filing claims for recipients enrolled in the Patient 1st Program, refer to Chapter 39, Patient 1st, to determine whether your services require a referral from the Primary Medical Provider (PMP).

If the EPSDT screening provider wants to render treatment services himself, the provider completes a self-referral.

After receiving a screening referral form, Medicaid providers may seek reimbursement for medically necessary services to treat or improve the defects, illnesses, or conditions identified on the referral form. The consulting provider completes the corresponding portion of this form and returns a copy to the screening provider. If services or treatment from additional providers is indicated, a copy of the referral form must be sent to those providers for their medical records. A completed Referral for Services Form must be present in the patient's medical record that identifies the treated conditions referred as the result of an EPSDT screening or payments for these services will be recouped.

Deleted:
Section D.1.3
of the
Managed
Care
appendix

Added:
Chapter 39,
Patient 1st

37.4 Cost Sharing (Copayment)

Copayment does not apply to therapy services.

37.5 Completing the Claim Form

To enhance the effectiveness and efficiency of Medicaid processing, providers should bill Medicaid claims electronically.

Therapy providers who bill Medicaid claims electronically receive the following benefits:

- Quicker claim processing turnaround
- Immediate claim correction
- Enhanced online adjustment functions
- Improved access to eligibility information

Refer to Appendix B, Electronic Media Claims Guidelines, for more information about electronic filing.

➤ Electronic claims submission can save you time and money. The system alerts you to common errors and allows you to correct and resubmit claims online.

NOTE:

Therapy services are billed using the CMS-1500 claim form. Medicare-related claims must be filed using the Medical Medicaid/Medicare-related Claim Form.

This section describes program-specific claims information. Refer to Chapter 5, Filing Claims, for general claims filing information and instructions.

37.5.1 *Time Limit for Filing Claims*

Medicaid requires all claims for therapy services to be filed within one year of the date of service. Refer to Section 5.1.4, Filing Limits, for more information regarding timely filing limits and exceptions.

37.5.2 *Diagnosis Codes*

The *International Classification of Diseases - 9th Revision - Clinical Modification* (ICD-9-CM) manual lists required diagnosis codes. These manuals may be obtained by contacting the American Medical Association, P.O. Box 10950, Chicago, IL 60610.

NOTE:

ICD-9 diagnosis codes must be listed to the highest number of digits possible (3, 4, or 5 digits). Do not use decimal points in the diagnosis code field.

37.5.3 *Procedure Codes and Modifiers*

Medicaid uses the Healthcare Common Procedure Coding System (HCPCS). HCPCS is composed of the following:

- American Medical Association's Current Procedural Terminology (CPT)
- Nationally assigned codes developed for Medicare
- Locally assigned codes issued by Medicaid. Effective for dates of service on or after 01/01/2004, use national codes.

The CPT manual lists most procedure codes required by Medicaid. This manual may be obtained by contacting the Order Department, American Medical Association, 515 North State Street, Chicago, IL 60610-9986. The (837) Professional electronic claim and the paper claim have been modified to accept up to four Procedure Code Modifiers.

Speech Therapy

Speech therapy providers are limited to the procedure codes listed below:

Procedure Code	Description
92506	Evaluation of speech, language, voice, communications, auditory processing, and/or aural rehabilitation status
92507	Treatment of speech, language, voice, communication, and/or auditory processing disorder (includes aural rehabilitation); individual
92508	Group, two or more individuals
92597 (Deleted 4/01/01)	Evaluation for use and/or fitting of voice prosthetic or augmentative/alternative communications device

Physical Therapy

Physical therapy providers are limited to the procedure codes and limits listed below. These procedure codes cannot be span billed and must be submitted for each date of service provided. Documentation by therapist in medical record must support number of units billed on claim. Annual limit means per calendar year.

Procedure Code	Physical Therapy	See Note	Max Units Per Day	Annual Limit
95831	Muscle testing, manual (separate procedure) extremity (excluding hand) or trunk, with report		1	12
95832	Muscle Testing Manual		1	12
95833	Total evaluation of body, excluding hands		1	12
95834	Total evaluation of body, including hands		1	12
95851	ROM measurements and report (separate procedure); each extremity (excluding hand) or each trunk section (spine)		10	10
97001	Physical therapy evaluation		1	1
97002	Physical therapy reevaluation		1	1
97010	Application of a modality to one or more areas; hot or cold packs	1, 3	1	12
97012*	Traction, mechanical	1	1	12
97014*	Electrical stimulation, unattended	1, 2	4	12
97016*	Vasopneumatic device	1	1	
97018*	Paraffin bath	1, 3	1	24
97022	Whirlpool	3	1	24
97024*	Diathermy	1	1	24
97026*	Infrared	1	1	24
97028	Ultraviolet		1	24
97032	Application of a modality to one or more areas; electrical stimulation (manual), each 15 minutes	3	4	96
97034	Contrast baths, each 15 minutes	3	4	96
97035	Ultrasound, each 15 minutes	3	4	96

Procedure Code	Physical Therapy	See Note	Max Units Per Day	Annual Limit
97036	Hubbard tank, each 15 minutes	3	4	96
97110	Therapeutic procedure, one or more areas, each 15 minutes; therapeutic exercises to develop strength and endurance, ROM and flexibility	3	4	96
97112	Neuromuscular reeducation of movement, balance, coordination, kinesthetic sense, posture, and proprioception	3	1	24
97113*	Aquatic therapy with therapeutic * exercises		1	24
97116	Gait training (includes stair climbing)		1	18
97124	Massage, including effleurage, petrissage and/or tapotement (stroking, compression, percussion).	3	1	8
97140	Manual therapy techniques (e.g., mobilization/manipulation, manual lymphatic drainage, manual traction), one or more regions, each 15 minutes		1	4
97150	Therapeutic procedure(s), group (2 or more individuals)		1	12
97504	Orthotics fitting and training, upper and/or lower extremities, each 15 minutes	3	4	16
97520	Prosthetic training, upper and/or lower extremities, each 15 minutes	3	4	16
<u>deleted as of 10-01-00</u>				
97532	Development of cognitive skills to improve attention, memory, problem solving, (includes compensatory training) direct (one on one) patient contact by the provider, each 15 minutes	3	4	36
97533	Sensory integrative techniques to enhance sensory processing and promote adaptive responses to environmental demands, direct (one on one) patient contact by the provider, each 15 minutes	3	4	36
97535	Self care/home management training (e.g., activities of daily living (ADL) and compensatory training, meal preparation, safety procedures, and instructions in use of adaptive equipment), direct one on one contact by provider each 15 minutes.	3	4	36

Procedure Code	Physical Therapy	See Note	Max Units Per Day	Annual Limit
97537	Community/work reintegration training (eg shopping, transportation, money management, avocational activities and/or work environment/modification analysis) direct one-on-one contact by provider, each 15 minutes	3	4	36
97542	Wheelchair management/propulsion training, each 15 minutes	3	4	24
97597	Removal of devitalized tissue From wounds		1	104
97598	Removal of devitalized tissue From wounds		1	104
97703	Checkout for orthotic/prosthetic use, established patient, each 15 minutes	3	4	12
97750	Physical performance test or measurement, (e.g., musculoskeletal, functional capacity) with written report, each 15 minutes	3	4	12

* With therapeutic procedure codes only (97110 through 97546)

NOTE:

1. Restricted to one procedure or date of service (cannot bill two together for the same date of service.)
2. 97014 cannot be billed on same date of service as procedure code 20974 or 20975.
3. When a physical therapist and an occupational therapist perform the same procedure for the same recipient for the same day of service, the maximum units reimbursed by Medicaid will be the daily limit allowed for procedure, not the maximum units allowed for both providers.
4. Requires PA

Occupational Therapy

Occupational therapy providers are limited to the procedure codes and limits listed below. These procedure codes cannot be span billed and must be submitted for each date of service provided. Documentation by therapist in medical record must support number of units billed on claim. Annual limit means per calendar year.

Medicaid **does not** cover group occupational therapy. Covered occupational therapy services **do not** include recreational and leisure activities such as movies, bowling, or skating. Individual occupational therapy services are limited to the following codes:

Code	Description	See Note Above	Max Units Per Day	Annual Limit
97003	Occupational therapy evaluation		1	1
97004	Occupational therapy re-evaluation		1	1
97010	Application of a modality to one or more areas; hot or cold packs	1, 3	1	12
97018	Paraffin bath	1, 3	1	24
97022	Whirlpool	3	1	24
97032	Application of a modality to one or more areas; electrical stimulation (manual), each 15 minutes	3	4	96
97033	Lontophoresis, each 15 minutes (Deleted 1-1-99)	3	4	96
97034	Contrast baths, each 15 minutes	3	4	96
97035	Ultrasound, each 15 minutes	3	4	96
97036	Hubbard tank, each 15 minutes	3	4	96
97110	Therapeutic procedure, one or more areas, each 15 minutes; therapeutic exercises to develop strength and endurance, range of motion and flexibility	3	4	96
97112	Neuromuscular reeducation of movement, balance, coordination, kinesthetic sense posture, and proprioception	3	1	24
97124	Massage, including effleurage, petrissage, and/or tapotement (stroking, compression, percussion)	3	1	8
97504	Orthotics fitting and training and / or upper lower extremities each visit 15 minutes.	3	4	16
97520	Prosthetic training (upper and lower extremities), each 15 minutes	3	4	16
97530	Therapeutic activities, direct (one on one) patient contact by the provider, (use of dynamic activities to improve functional performance), each 15 minutes	3	4	96
97535	Self care / home management training (e.g., activities of daily living (ADL) and compensatory training,	4, 3	4	36

Code	Description	See Note Above	Max Units Per Day	Annual Limit
	meal preparation, safety procedures, and instructions in use of adaptive equipment) direct one on one contact by provider, each 15 minutes.			
97542	Wheelchair management /propulsion training, each 15 minutes	3	4	24
97597	Removal of devitalized tissue from wounds		1	104
97598	Removal of devitalized tissue from wounds		1	104
97703	Checkout for orthotic / prosthetic use, established patient, each 15 minutes	3	4	12
97750	Physical performance test or measurement (e.g., musculoskeletal, functional capacity) with written report, each 15 minutes	3	4	12

NOTE:

Refer to Chapter 14, Durable Medical Equipment, for L codes.

37.5.4 Place of Service Codes

The following place of service codes apply when filing claims for therapy services:

POS Code	Description
11	Office

37.5.5 Required Attachments

To enhance the effectiveness and efficiency of Medicaid processing, your attachments should be limited to the following circumstances:

- Claims With Third Party Denials

NOTE:

When an attachment is required, a hard copy CMS-1500 claim form must be submitted.

Refer to Section 5.7, Required Attachments, for more information on attachments.

37.6 For More Information

This section contains a cross-reference to other relevant sections in the manual.

Resource	Where to Find It
CMS-1500 Claim Filing Instructions	Section 5.2
Medical Medicaid/Medicare-related Claim Filing Instructions	Section 5.6.1
EPSDT	Appendix A
Electronic Media Claims (EMC) Submission Guidelines	Appendix B
AVRS Quick Reference Guide	Appendix L
Alabama Medicaid Contact Information	Appendix N